



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICANT(S): Chee-Hong Wong, Kok-Hoon Teo, See-Wai Yip, Kok-Khuan Fong
and Eng-Whatt Toh

APPLICATION NO.: 09/881,899

FILING DATE: June 14, 2001

TITLE: Fast Escrow Delivery

EXAMINER: Unknown

GROUP ART UNIT: 2131

ATTY. DKT. NO.: 20735-05503

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Technology Center 2100

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: 6 June 2002

By: Bradley D. Baugh
Bradley D. Baugh, Reg. No.: 50,368

COMMISSIONER FOR PATENTS
WASHINGTON, DC. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;

- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - ☐ attached hereto is the fee set forth under 37 CFR § 1.17(p) for submission of this Information Disclosure Statement under 37 CFR § 1.97(c); OR
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a

foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

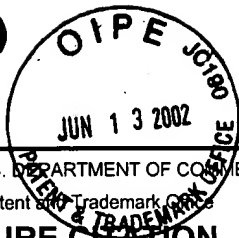
- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ after the payment of the issue fee. Applicant request that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. [APPLICATION NUMBER], filed on [FILING DATE], and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
CHEE-HONG WONG, et al.

Dated: 6 June 2002

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Sheet 1 of 3

FORM PTO-1449
(REV. 6-89)U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Attorney's Docket No.

20735-05503

Serial No.

09/881,899

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Applicant

Chee-Hong Wong, et al.

Filing Date

June 14, 2001

Group Art Unit

2132

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A	4,625,076	11/25/86	Okamoto et al.	178	22.11	
	B	5,005,200	04/02/91	Fischer	380	30	
	C	5,018,196	05/21/91	Takaragi et al.	380	30	
	D	5,157,726	10/20/92	Merkle et al.	380	23	
	E	5,388,158	02/07/95	Berson	380	23	
	F	5,432,785	7/11/95	Ahmed et al.	370	60.1	
	G	5,432,852	07/11/95	Leighton et al.	380	30	
	H	5,544,152	8/6/96	Obermanns et al.	370	16	
	I	5,623,653	04/22/97	Matsuno et al.	395	609	
	J	5,638,446	6/10/97	Rubin	380	25	
	K	5,671,285	9/23/97	Newman	380	30	
	L	5,689,567	11/18/97	Miyauchi	380	25	
	M	5,706,452	01/06/98	Ivanov	395	331	
	N	5,734,651	03/31/98	Blakeley et al.	370	392	
	O	5,764,918	6/9/98	Poulter	395	200.66	
	P	5,767,847	06/16/98	Mori et al.	345	329	
	Q	5,768,271	6/16/98	Seid et al.	370	389	
	R	5,802,286	9/1/98	Dere et al.	395	200.5	

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.

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Sheet 2 of 3

FORM PTO-1449
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U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	S	5,812,669	9/22/98	Jenkins et al.	380	25	
	T	5,825,865	10/20/98	Oberlander et al.	395	200	
	U	5,848,248	12/8/98	Kawasaki et al.	395	268	
	V	5,872,848	02/16/99	Romney et al.	380	8	
	W	5,878,398	03/02/99	Tokuda et al.	705	8	
	X	5,898,156	04/27/99	Wilfong	235	380	
	Y	5,912,974	06/15/99	Holloway et al.	380	51	
	Z	5,915,024	06/22/99	Kitaori et al.	380	25	
	AA	5,948,103	09/07/99	Fukuzaki	713	200	
	BB	5,956,406	9/21/99	Maldy	380	30	
	CC	6,009,173	12/28/99	Sumner	380	21	
	DD	6,038,541	03/14/00	Tokuda et al.	705	8	
	EE	6,055,575	4/25/00	Paulsen et al.	709	229	
	FF	6,079,020	6/20/00	Liu	713	201	
	GG	6,081,610	06/27/00	Dwork et al.	382	119	

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		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

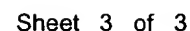
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	HH	6,085,322	07/04/00	Romney et al.	713	176	
	II	6,092,113	7/18/00	Maeshima et al.	709	230	
	JJ	6,092,200	7/18/00	Muniyappa et al.	713	231	
	KK	6,173,399	1/9/01	Gilbrech	713	231	
	LL	6,226,748	5/1/01	Bots et al.	713	230	

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[illegible]

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

QQ	Ellison, Carl, Schneier, Bruce, "Ten Risks of PKI: What You're not Being Told about Public Key Infrastructure", Computer Security Journal, Vol. XVI, No. 1 (2000), pages 1-7.
RR	Introduction to SSL [online]. Netscape Communications Corporation (Last updated 10/09/98) [retrieved on 10/24/2000]. Copyright 1998. Retrieved from the Internet <URL: http://developer.netscape.com/docs/manuals/security/sslin/contents.htm . Pages 1-11.
SS	Introduction to Public-Key Cryptography [online]. Netscape Communications Corporation [retrieved on 10/24/2000]. Retrieved from the Internet <URL: http://developer.netscape.com/docs/manuals/security/pkin/contents.htm . Pages 1-19.

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